Global X ETF Roadmap

Innovation meets Investing

August 2024



GLOBAL × ETF Roadmap

by Mirae Asset

As of May 1, 2024, Horizons-branded exchange-traded funds have been rebranded to reflect the Manager's transition to Global X Investments Canada Inc. ("Global X"), as announced in the company's press release on April 18, 2024.

EQUITY ESSENTIALS Lightly Levered Covered Call Lightly Levered Covered Call Core CNCC CNCL CANL **CNDX** Global X S&P/TSX 60 Global X Enhanced S&P/ Global X Enhanced S&P/ Global X S&P/TSX 60 Covered Call ETF TSX 60 Index ETF TSX 60 Covered Call ETF **Index ETF** Management Fee: 0.39%^{2,6} Management Fee: 0.00%^{2,3} Management Fee: 0.65%2 Management Fee: 0.13%2 Distributions: Monthly, if any Distributions: Monthly Distributions: Monthly Distributions: Quarterly Risk Rating: • • • • Medium Medium Medium Medium Canada **HBNK BKCC** BNKL BKCL Global X Enhanced Equal Weight Global X Equal Weight Global X Equal Weight Canadian Global X Enhanced Equal Weight Canadian Banks Index ETF **Bank Covered Call ETF Banks Index ETF** Canadian Banks Covered Call ETF Management Fee: 0.00%^{2,3} Management Fee: 0.09%2 Management Fee: 0.39%^{2,6} Management Fee: 0.65%² Distributions: Monthly Distributions: Monthly Distributions: Monthly Distributions: Monthly Risk Rating: • • • • Risk Rating: • • • • • Risk Rating: • • • • Risk Rating: • • • • Medium Medium Medium to High USSX/USSX.U1 USCC/USCC.U1 **USSL USCL** Global X S&P 500 Global X S&P 500 Global X Enhanced S&P 500 Global X Enhanced S&P 500 Index ETF Covered Call ETF Index ETF Covered Call ETF Management Fee: 0.08%² Management Fee: 0.39%² Management Fee: 0.00%^{2,3} Management Fee: 0.65%2 Distributions: Quarterly Distributions: Monthly (if any) Distributions: Annually (if any) Distributions: Monthly Risk Rating: • • • • • Medium Medium Medium Medium U.S. QQQX/QQQX.U1 **QQCC** QQQL QQCL Global X Nasdaq-100 Global X Nasdaq-100 Global X Enhanced Global X Enhanced **Index ETF** Covered Call ETF Nasdaq-100 Index ETF Nasdaq-100 Covered Call ETF Management Fee: 0.25%² Management Fee: 0.65%² Management Fee: 0.00%^{2,4} Management Fee: 0.85%² Distributions: Annually (if any) Distributions: Monthly (if any) Distributions: Annually (if any) Distributions: Monthly Risk Rating: • • • • ▲ High Medium to High Medium to High High EAFX/EAFX.U¹ **EACC EAFL EACL** Global X MSCI EAFE Global X MSCI EAFE Covered Global X Enhanced MSCI Global X Enhanced MSCI **Index ETF** Call ETF **EAFE Index ETF EAFE Covered Call ETF** Management Fee: 0.20%2 Management Fee: 0.49%² Management Fee: 0.00%^{2,5} Management Fee: 0.75%² Distributions: Quarterly Distributions: Monthly Distributions: Annually (if any) Distributions: Monthly Risk Rating: • • • • • Medium Medium Medium Medium International EMMX/EMMX.U1 **EMCC EMCL** Global X MSCI Emerging Global X MSCI Emerging Global X Enhanced MSCI Global X Enhanced MSCI Emerging Markets Index ETF Markets Covered Call ETF **Emerging Markets Index ETF** Markets Covered Call ETF Management Fee: 0.00%^{2,4} Management Fee: 0.25%² Management Fee: 0.65%² Management Fee: 0.85%² Distributions: Annually Distributions: Monthly Distributions: Annually (if any) Distributions: Monthly Risk Rating: • • • • Medium to High Medium to High Medium to High Medium

¹Trades in U.S. Dollars. | ²Plus applicable sales tax.

³Annual management fee rebated to 0% from 0.35%, effective August 1, 2024, until December 31, 2024.

⁴Annual management fee rebated to 0% from 0.49%, effective August 1, 2024, until December 31, 2024.

⁵Annual management fee rebated to 0% from 0.45%, effective August 1, 2024, until December 31, 2024.





Covered Call Sectors

Covered Call

ENCC

Global X Canadian Oil and Gas Equity Covered Call ETF

Management Fee: 0.65%²

Distributions: Monthly (if any)

Risk Rating: • • • •

▲ High

Lightly Levered Covered Call

ENCL

Global X Enhanced Canadian Oil and Gas Equity Covered Call ETF

Management Fee: 0.85%2 Distributions: Monthly

Risk Rating: • • • • • High



GLCC

Global X Gold Producer Equity Covered Call ETF

Management Fee: 0.65%² Distributions: Monthly (if any)

Risk Rating: • • • • High



Global X Gold Yield ETF

Management Fee: 0.60%² Distributions: Monthly (if any)

Risk Rating: • • • • Medium



Canadian Strategies



HAL

Global X Active Canadian **Dividend ETF**

Management Fee: 0.55%² Distributions: Quarterly (if any)

Risk Rating: • • • •

Medium

Global X Pipelines & Energy Services Index ETF

Management Fee: 0.55%2 Distributions: Quarterly (if any)

Risk Rating: • • • •

UTIL

Global X Canadian Utility Services High Dividend Index ETF

Management Fee: 0.50%² Distributions: Monthly (if any)

Risk Rating: • • • •

Medium

INOC

Global X Inovestor Canadian **Equity Index ETF**

Management Fee: 0.50%² Distributions: Quarterly (if any)

Risk Rating: • • • • Medium

Global Equity



HAZ

Global X Active Global Dividend ETF

Management Fee: 0.65%² Distributions: Quarterly (if any)

Risk Rating: • • • • •

Medium

ETHI

Global X Global Sustainability Leaders Index ETF

Management Fee: 0.45%² Distributions: Quarterly (if any)

Risk Rating: • • • •

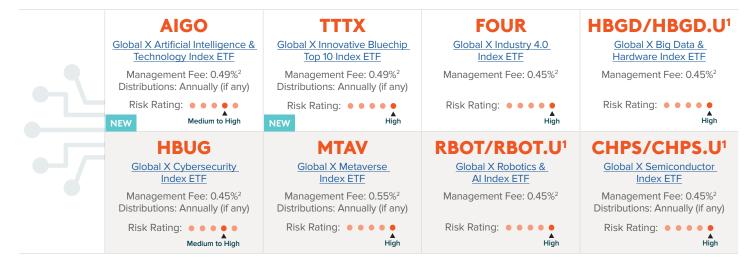




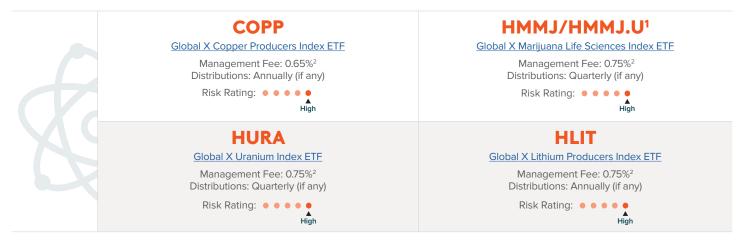


THEMATICS

Technology



Energy Transition, Commodities and Wellness







FIXED INCOME

Cash and Ultra-short



CASH

Global X High Interest Savings ETF

Management Fee: 0.10%² Distributions: Monthly (if any)

Risk Rating: • • • •

UCSH.U1/UCSH

Global X USD High Interest Savings ETF

Management Fee: 0.14%² Distributions: Monthly (if any)

Risk Rating: • • • •

CBIL

Global X 0-3 Month T-Bill ETF

Management Fee: 0.10%² Distributions: Monthly

Weighted Avg. Duration: 0.113 Weighted Avg. YTM4: 4.51%3

Risk Rating: • • • • • Low

UBIL.U1

Global X 0-3 Month U.S. T-Bill ETF

Management Fee: 0.12%² Distributions: Monthly

Weighted Avg. Duration: 0.113 Weighted Avg. YTM4: 5.44%3

Risk Rating:

HFR

Global X Active Ultra-Short Term Investment Grade Bond ETF

Management Fee: 0.40%² Distributions: Monthly (if any)

Weighted Avg. Duration: 0.813 Weighted Avg. YTM4: 5.23%3

Risk Rating: • • • • •

Low

Treasuries

Canada

PAYS

Global X Short-Term Government Bond Premium Yield ETF

Management Fee: 0.40%²

Weighted Avg. Duration: 0.113 Weighted Avg. YTM4: 5.74% 3

Risk Rating: • • • • •

SPAY.U1/SPAY

Global X Short-Term U.S. Treasury Premium Yield ETF

Management Fee: 0.35%² Distributions: Monthly

Weighted Avg. Duration: 1.773 Weighted Avg. YTM4: 5.56%3

United States

MPAY.U1/MPAY Global X Mid-Term U.S. Treasury **Premium Yield ETF**

Management Fee: 0.40%²

Distributions: Monthly

Weighted Avg. Duration: 6.843 Weighted Avg. YTM4: 5.17%3

Risk Rating: Low

LPAY.U1/LPAY

Global X Long-Term U.S. **Treasury Premium Yield ETF**

Management Fee: 0.45%² Distributions: Monthly

Weighted Avg. Duration: 12.913 Weighted Avg. YTM4: 4.66%3

Risk Rating: • • • • • Low to Medium

Broad Market and Investment Grade



HAD

Global X Active Canadian **Bond ETF**

Management Fee: 0.30%^{2,5} Distributions: Monthly (if any)

Weighted Avg. Duration: 7.423 Weighted Avg. YTM4: 4.07%3

Risk Rating: • • • • • Low

HAB

Global X Active Corporate **Bond ETF**

Management Fee: 0.50%² Distributions: Monthly (if any)

Weighted Avg. Duration: 5.873 Weighted Avg. YTM4: 4.68%3

Risk Rating: • • • • • Low

HMP

Global X Active Canadian **Municipal Bond ETF**

Management Fee: 0.29%2 Distributions: Monthly (if any)

Weighted Avg. Duration: 2.763 Weighted Avg. YTM4: 3.86%3

Risk Rating: • • • •

Low

Global



HAF

Global X Active Global Fixed Income ETF

Management Fee: 0.45%² Distributions: Monthly (if any)

Weighted Avg. Duration: 6.073 Weighted Avg. YTM4: 6.35%3

Risk Rating: • • • • • Low

HGGB

Global X S&P Green Bond Index ETF

Management Fee: 0.45%² Distributions: Quarterly (if any)

Weighted Avg. Duration: 4.283 Weighted Avg. YTM4: 5.68%3

Risk Rating: • • • • •







Preferred Shares



HPR

Global X Active Preferred Share ETF

Management Fee: 0.55%² Distributions: Monthly (if any) Weighted Avg. Duration: 4.563 Weighted Avg. YTM4: 5.32%3

Risk Rating: • • • • Medium

HYBR

Global X Active Hybrid Bond and Preferred Share ETF

Management Fee: 0.55%² Distributions: Monthly (if any) Weighted Avg. Duration: 2.643 Weighted Avg. YTM4: 5.41%3

Risk Rating: • • • • Medium

ALTERNATIVES

Liquid Alternatives and Multi-Strategy



HAC

Global X Seasonal Rotation ETF

Management Fee: 0.75%² Distributions: Annually (if any)

Performance Fee: 20% of outperformance over high water mark and an annualized return of 5% (please see the prospectus for more information)

> Risk Rating: • • • • Medium

HRAA

Global X Resolve Adaptive Asset Allocation Corporate Class ETF

Management Fee: 0.85%²

Performance Fee: 15% of outperformance over high water mark and an annualized return of 3% (please see the prospectus for more information)

> Risk Rating: • • • • Low to Medium

> > High

Commodities, Energy and Metals



CARB

Global X Carbon Credits ETF

Management Fee: 0.75%²

Risk Rating: • • • •

HUC

Global X Crude Oil ETF

Management Fee: 0.75%²

Risk Rating: • • • •

High

HUG

Global X **Gold ETF**

Management Fee: 0.20%² Distributions: Annually

(if any)

Risk Rating: • • • • Medium

HUN

Global X Natural Gas ETF

Management Fee: 0.75%² Distributions: Annually (if any)

Risk Rating: • • • •

HUZ

Global X Silver ETF

Management Fee: 0.65%² Distributions: Annually (if any)

Risk Rating: • • • • High

Currency



DLR/DLR.U¹

Global X US Dollar Currency ETF

Management Fee: 0.45%² Distributions: Quarterly (if any)

Risk Rating: • • • • •

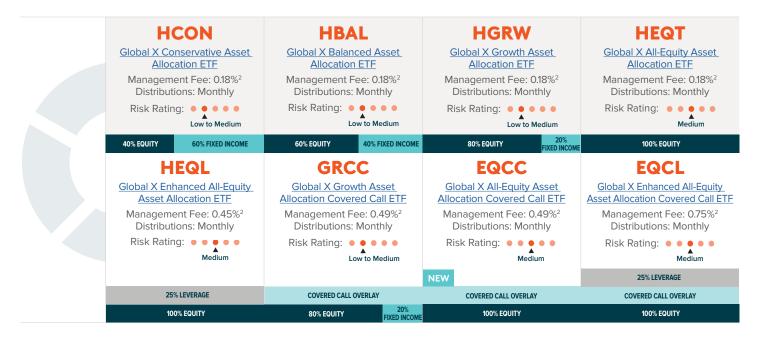
High





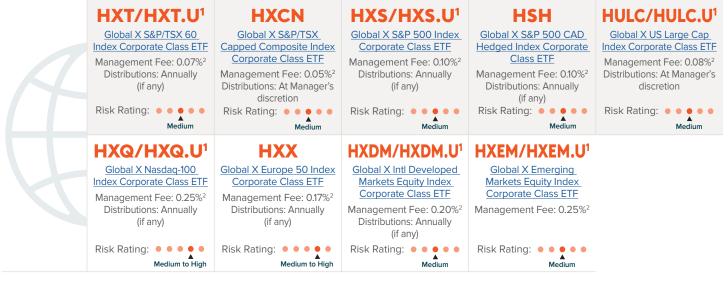


ASSET ALLOCATION



CORPORATE CLASS

Broad Equity



Canadian Sectors and Strategies







Fixed Income and Cash

Mai Disi

HSAV⁵

Global X Cash

Maximizer Corporate

Class ETF

Management Fee: 0.18%² Distributions: At Manager's discretion

Risk Rating:

HSUV.U^{1,6}

Global X USD Cash Maximizer Corporate Class ETF

Management Fee: 0.18%²

Risk Rating:

HBB

Global X Canadian Select
Universe Bond Index
Corporate Class ETF

Management Fee: 0.09%² Distributions: Annually (if any)

Weighted Avg. Duration: 7.58³ Weighted Avg. YTM⁴: 3.74%³

Risk Rating:

HTB/HTB.U1

Global X US 7-10 Year
Treasury Bond Index
Corporate Class ETF

Management Fee: 0.15%² Distributions: Annually (if any)

Weighted Avg. Duration: 7.20³ Weighted Avg. YTM⁴: 4.02%³

Risk Rating: • • • • • Low to Medium

HLPR

Global X Laddered
Canadian Preferred
Share Index Corporate
Class ETF

Management Fee: 0.30%²

⁵On February 3, 2022, HSAV suspended new subscriptions after reaching approximately \$2 Billion in assets. Please refer to the press release for more information.

⁶On January 9, 2023, HSUV.U suspended new subscriptions after reaching approximately US\$775 Million in assets. Please refer to the press release for more information.

DISCLAIMERS

Effective June 24, 2022, the investment objectives of the Global X S&P/TSX 60 Covered Call ETF ("CNCC") (formerly Horizons Canadian Large Cap Equity Covered Call ETF), the Global X Canadian Oil and Gas Equity Covered Call ETF ("ENCC") (formerly Horizons Canadian Oil and Gas Equity Covered Call ETF), the Global X Equal Weight Canadian Bank Covered Call ETF ("BKCC") (formerly Horizons Equal Weight Canadian Bank Covered Call ETF), the Global X S&P 500 Covered Call ("USCC.U, USCC") (formerly Horizons US Large Cap Equity Covered Call ETF), the Global X NAS-DAQ-100 Covered Call ETF ("QQCC") (formerly Horizons NASDAQ-100 Covered Call ETF), and the Global X Gold Producer Equity Covered Call ETF ("GLCC") (formerly Horizons Gold Producer Equity Covered Call ETF), were changed following receipt of the required unitholder and regulatory approvals. For more information, please refer to the disclosure documents of the ETFs at www.GlobalX.ca.

Commissions, management fees, and expenses all may be associated with an investment in products (the "Global X Funds") managed by Global X Investments Canada Inc. The Global X Funds are not guaranteed, their values change frequently and past performance may not be repeated. Certain Global X Funds may have exposure to leveraged investment techniques that magnify gains and losses which may result in greater volatility in value and could be subject to aggressive investment risk and price volatility risk. Such risks are described in the prospectus. The Global X Money Market Funds are not covered by the Canada Deposit Insurance Corporation, the Federal Deposit Insurance Corporation, or any other government deposit insurer. There can be no assurances that the money market fund will be able to maintain its net asset value per security at a constant amount or that the full amount of your investment in the Funds will be returned to you. Past performance may not be repeated. The prospectus contains important detailed information about the Global X Funds. Please read the relevant prospectus before investing.

Certain ETFs are alternative investment funds ("Alternative ETFs") within the meaning of the National Instrument 81-102 Investment Funds ("NI 81-102") and are permitted to use strategies generally prohibited by conventional mutual funds, such as the ability to invest more than 10% of their net asset value in securities of a single issuer, the ability to borrow cash, to short sell beyond the limits prescribed for conventional mutual funds and to employ leverage of up to 300% of net asset value. While these strategies will only be used in accordance with the investment objectives and strategies of the Alternative ETFs, during certain market conditions they may accelerate the risk that an investment in ETF Shares of such Alternative ETF decreases in value. The Alternative ETFs will comply with all requirements of NI 81-102, as such requirements may be modified by exemptive relief obtained on behalf of the ETF.

Certain statements may constitute a forward-looking statement, including those identified by the expression "expect" and similar expressions (including grammatical variations thereof). The forward-looking statements are not historical facts but reflect the author's current expectations regarding future results or events. These forward-looking statements are subject to a number of risks and uncertainties that could cause actual results or events to differ materially from current expectations. These and other factors should be considered carefully and readers should not place undue reliance on such forward-looking statements. These forward-looking statements are made as of the date hereof and the authors do not undertake to update any forward-looking statement that is contained herein, whether as a result of new information, future events or otherwise, unless required by applicable law.

¹Trades in U.S. Dollars.

²Plus applicable sales tax.

³As at July 31, 2024.

⁴YTM = Yield to Maturity



ETF Roadmap



The payment of distributions, if any, is not guaranteed and may fluctuate at any time. The payment of distributions should not be confused with an exchange-traded fund's ("ETF") performance, rate of return, or yield. If distributions paid by the ETF are greater than the performance of the ETF, distributions paid may include a return of capital and an investor's original investment will decrease. A return of capital is not taxable to the investor, but will generally reduce the adjusted cost base of the securities held for tax purposes. Distributions are paid as a result of capital gains realized by an ETF, and income and dividends earned by an ETF are taxable to the investor in the year they are paid. The investor's adjusted cost base will be reduced by the amount of any returns of capital. If the investor's adjusted cost base goes below zero, investors will realize capital gains equal to the amount below zero. Future distribution dates may be amended at any time. To recognize that these distributions have been allocated to investors for tax purposes the amounts of these distributions should be added to the adjusted cost base of the units held. The characterization of distributions, if any, for tax purposes, (such as dividends/other income/capital gains, etc.) will not be known for certain until after the ETF's tax year-end. Therefore, investors will be informed of the tax characterization after year-end and not with each distribution fi any. For tax purposes, these amounts will be reported annually by brokers on official tax statements. Please refer to the applicable ETF distribution policy in the prospectus for more information.

If applicable to the ETF, the sub-advisor and manager have a direct interest in the management fees and performance fees of the Global X Funds, and may, at any given time, have a direct or indirect interest in the Global X Funds or its holdings.

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